



Artificial Intelligence in Mental Health Counseling ::

Balancing Innovation & Client Safety



Lisa Henderson MA | MS | LPC-MHSP





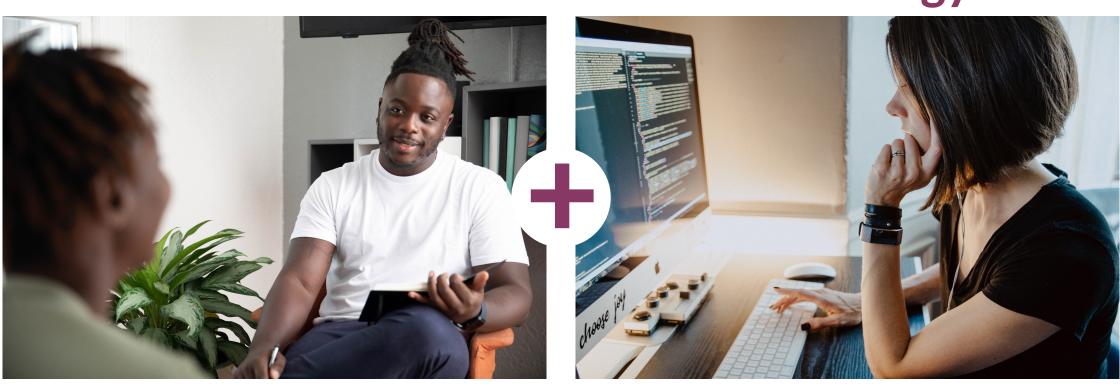
Learning Objectives

- Identify the positive and negative implications of AI in mental health care
 - 1. Administrative efficiencies
 - 2. Client care
- 2. Navigate ethical considerations for counselors using AI tools in their mental health practice
- 3. Apply the Evidence-Based Practice framework to counselors using AI tools
 - 1. Research Evidence: Staying abreast of the rapidly-growing evidence base
 - 2. Clinical Expertise: Evaluate counselor competency in selecting and using Al tools
 - 3. Client's Values, Preferences, and Characteristics: Informed consent with clients about where AI does/does not support their care

Help more people get better faster.

Humans

Technology



What is AI? an analogy

Large Language Models are like having every cookbook that's ever been written. AI is the head pastry chef in a French boulangerie, overseeing many different technologies, making sure they work together to create something wonderful.

Machine Learning is like taking notes every time you bake, applying what you've learned, and getting better over time.

Chatbots help you by answering questions or providing information anytime, anywhere, and often hands-free.

AI Enablement Reality & Theory

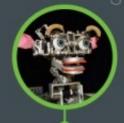
Singularity Artificial Super Intelligence Artificial General Intelligence **Reasoning Systems** Domain-Specific Aptitude RaLM 2 Context-Awareness & Retention **Rules-Based Systems** 8











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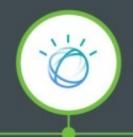
KISMET

Cynthia Breazeal at MIT introduces KISmet, an emotionally intelligent robot insofar as it detects and responds to people's feelings



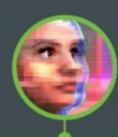














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Sony launches first consumer robot pet dog autonomous robotic AiBO (Al robot) with skills and personality that develop over time

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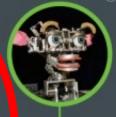












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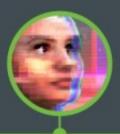














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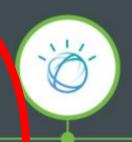
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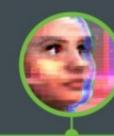














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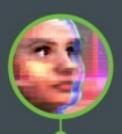














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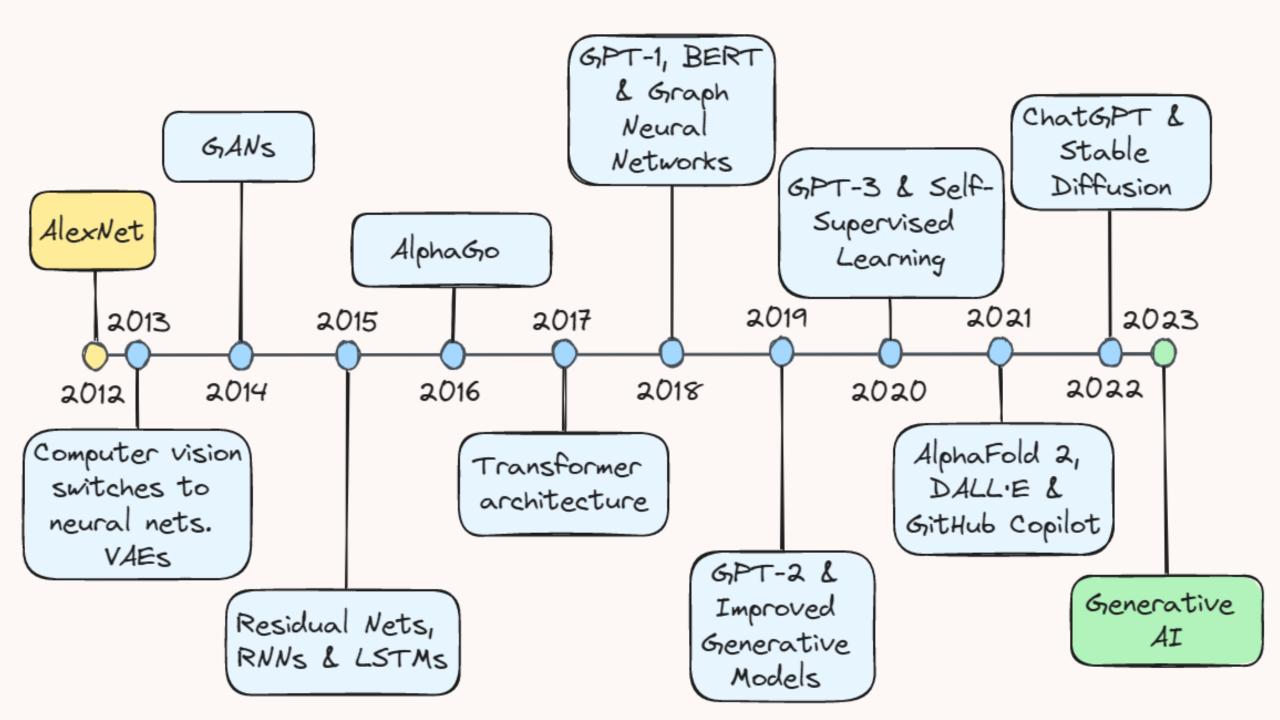
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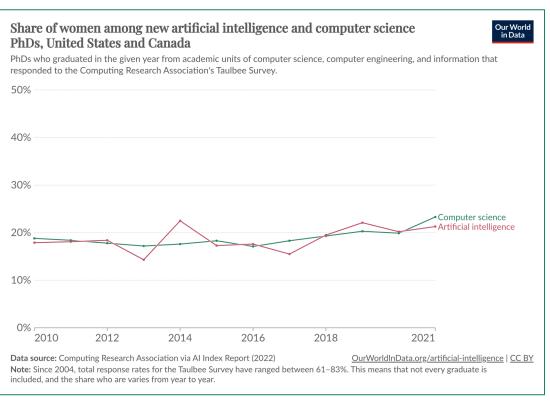


Most AI is created in Academia, but Industry is gaining ground.

Cumulative number of notable AI systems by researcher affiliation 100% Industry Other Academia and industry Academia 1995 2000 2005 2010 2015 2022 1990 Data source: Epoch (2023) OurWorldInData.org/artificial-intelligence | CC BY Note: Systems are defined as "notable" by the authors based on several criteria, such as advancing the state of the art or being of historical importance.

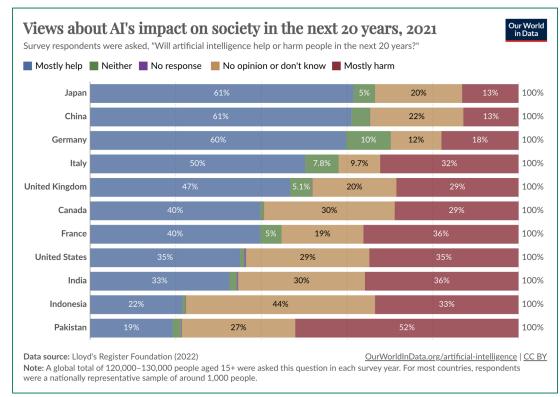
Epoch (2023) – with minor processing by Our World in Data. "Cumulative number of AI systems by researcher affiliation" [dataset]. Epoch, "Parameter, Compute and Data Trends in Machine Learning" [original data].

Only 20% of computer science & AI PhDs are awarded to women.



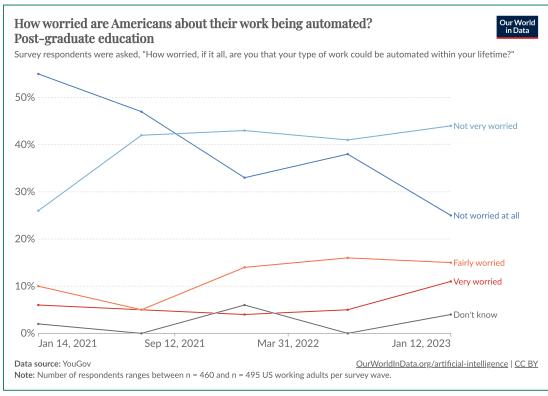
CRA Taulbee Survey (2022) via AI Index (2023) – processed by Our World in Data. "Artificial intelligence" [dataset]. CRA Taulbee Survey (2022) via AI Index (2023) [original data].

Most citizens expect AI will have positive or neutral impacts on society.



Lloyd's Register Foundation (2022) - processed by Our World in Data, "Mostly help" [dataset], Lloyd's Register Foundation (2022) [original data],

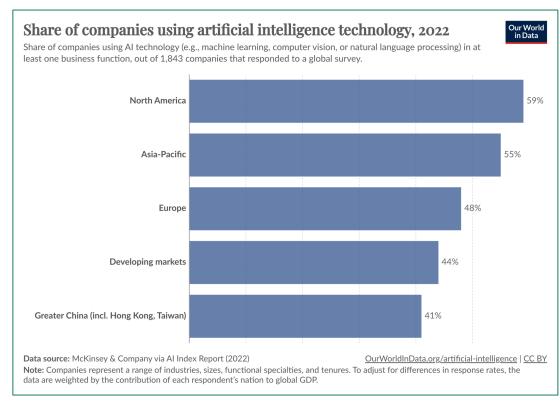
Only 16% of post-graduates (US) are worried about their jobs being automated.



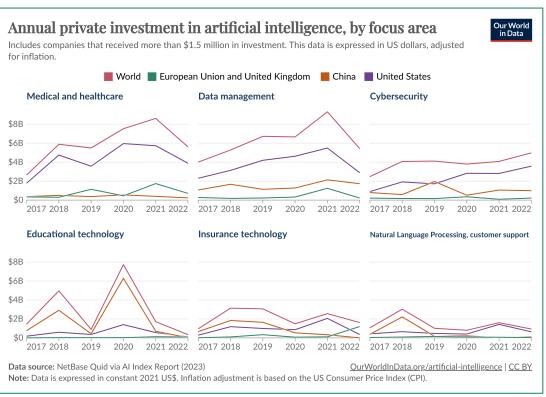
YouGov - processed by Our World in Data, "Don't know" [dataset]. YouGov [original data].

The majority of US companies are using AI in some way.

We are a subset of the field where most of the investment is going.



McKinsey & Company Survey (2022) via AI Index (2023) – processed by Our World in Data. "AI adoption" [dataset]. McKinsey & Company Survey (2022) via AI Index (2023) [original data].

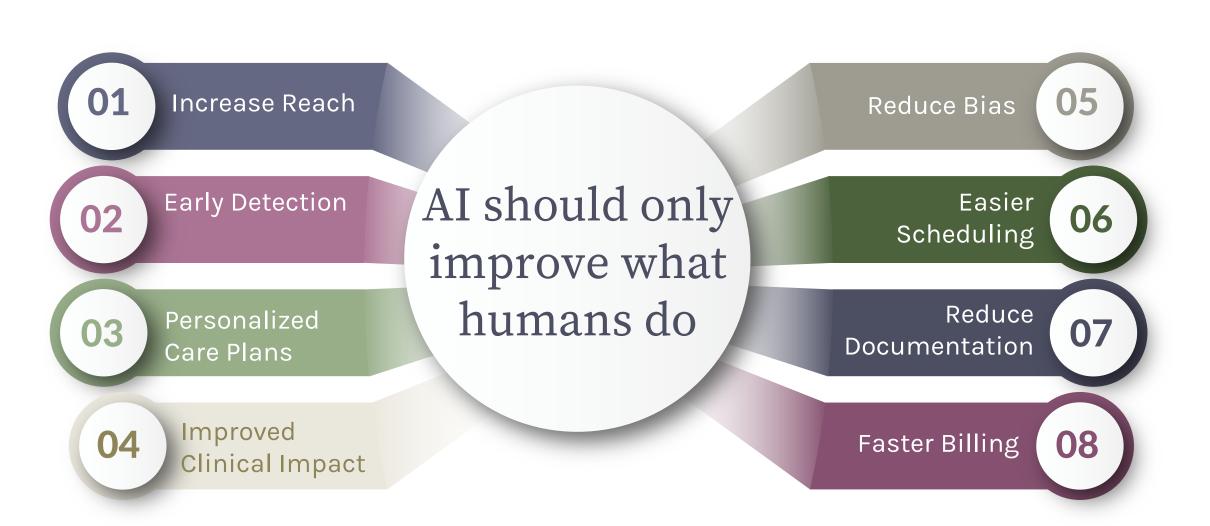


NetBase Quid (2022) via AI Index (2023) - processed by Our World in Data. "World" [dataset]. NetBase Quid (2022) via AI Index (2023) [original data].

Learning Objective 1

Identify the positive and negative implications of AI in mental health care

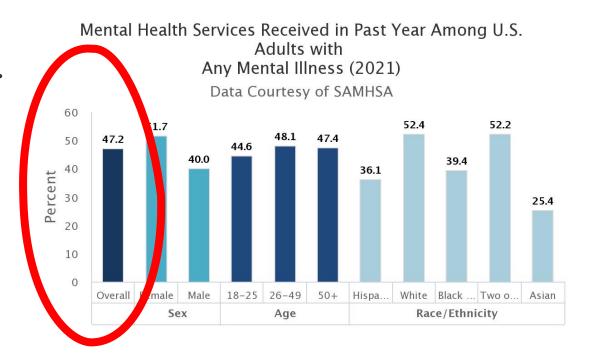
- 1. Administrative efficiencies
- 2. Client care



Increase Reach

Less than 50% of Adults in the US with AMI received mental health care.

- ► Sub-Clinical & Preventive Services
- ▶ 24/7 Care Plan Coaching
- ▶ Real Time Peer Connection
- ► Remote Therapeutic Monitoring
- ► Language & Cultural Responsiveness
- Community Services



Increase Reach Digital Therapeutics

- ► CBT-based apps
- ► SHUTi Internet-based CBTi
- ► Virtual Reality Exposure Therapy
- ► reSET
 Substance Use Disorder
 treatment by Pear
 Therapeutics



evidence-based therapeutic interventions



prescribed by physicians



delivered by software programs



to prevent, manage, or treat a broad spectrum of mental health conditions and substance use disorders

Sidebar on Pear Therapeutics

2013	Founded by Corey McCann MD/PhD
2014	
2015	
2016	\$20M Raise
2017	reSET receives FDA clearance
2018	\$50M Raise reSET-O receives FDA clearance
2019	\$64M Raise
2020	\$80M Raise Somryst receives FDA clearance
2021	SPAC
2022	
2023	Sold assets for \$6M

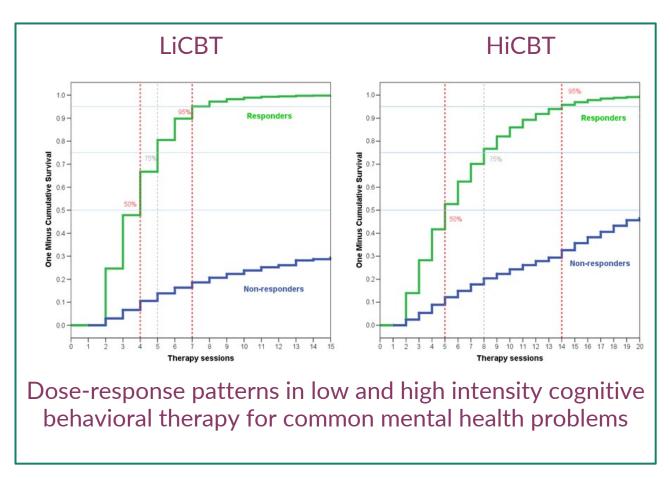
The failure of Pear was not a failure of the care model or technology. It was a failure of management:

- ▶ taking on too much risk
 - ▶ \$214M
 - ► SPAC
- operating an unsustainable business model
- ▶ Accepting too much friction
 - ▶ Doctors to prescribe
 - Patients to fill
 - ▶ Digital pharmacies to exist & distribute

Improve Impact

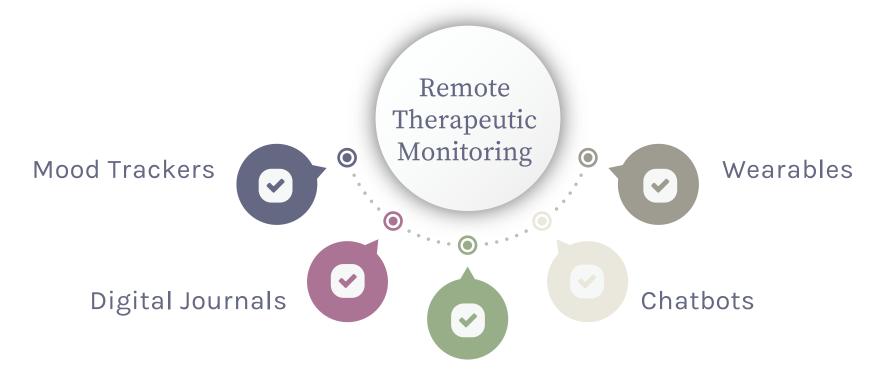
What if we knew the care pathway to remission... or cure?

- ► Accurate diagnosis & prioritization
- ▶ Optimal number of sessions
- ► Optimal constellation of encounters
- Readiness for change
- ► Therapeutic alliance
- ► Personalized care & delivery



Robinson, Louisa & Kellett, Stephen & Delgadillo, Jaime. (2020). Dose-response patterns in low and high intensity cognitive behavioral therapy for common mental health problems. Depression and Anxiety. 37. 10.1002/da.22999.

Improve Impact

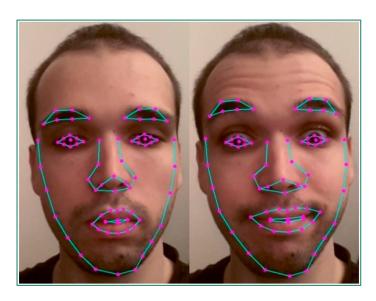


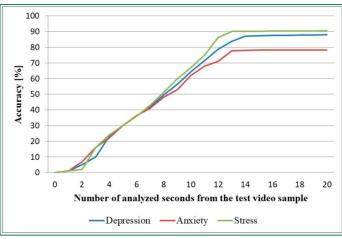
Text-Based Support

the use of technology for providers to monitor patients'/clients' mental health status and the effectiveness of treatments from a distance

Early Detection & Intervention

- ► <u>Identify increased risk of non-remitting PTSD</u> with a blood test
- ► <u>Early detection of Alzheimer's Disease</u> with behavioral sensor data from smartphones
- ► <u>Increased accuracy & speed of diagnosis</u> through facial expressions
- ► Predict responses to TMS or ECT with 90% accuracy through fMRI





AI Can Help Overcome Biases...

If we build it to.

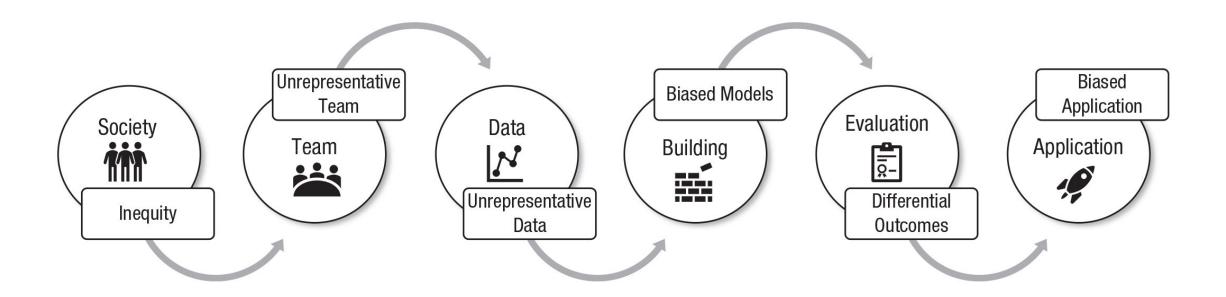
"The diagnosis of mental disorders almost exclusively depends on doctor-patient communication and scale analysis, which have obvious disadvantages such as patient denial, poor sensitivity, subjective biases, and inaccuracy."



Learning Objective 2

Navigate ethical considerations for counselors using Al tools in their mental health practice.

How Bias Gets Built In



AI Enablement Reality & Theory

MEOREMCAL Singularity Artificial Super Intelligence Artificial General Intelligence Reasoning Systems Domain-Specific Aptitude PaLM 2 Context-Awareness & Retention Rules-Based Systems 8

Key Lines from the Open Letter

- "Contemporary AI systems are now becoming humancompetitive at general tasks, and we must ask ourselves: Should we let machines flood our information channels with propaganda and untruth?"
- ▶ "Should we automate away all the jobs, including the fulfilling ones? Should we develop nonhuman minds that might eventually outnumber, outsmart, obsolete and replace us? Should we risk loss of control of our civilization?"
- ► "Powerful AI systems should be developed only once we are confident that their effects will be positive and their risks will be manageable."



Pause Giant Al Experiments: An Open Letter

We call on all Al labs to immediately pause for at least 6 months the training of Al systems more powerful than GPT-4.

View this open letter online

 Published
 PDF created
 Signatures

 March 22, 2023
 May 5, 2023
 27565

Al systems with human-competitive intelligence can pose profound risks to society and humanity, as shown by extensive research¹ and acknowledged by top Al labs.² As stated in the widelyendorsed <u>Asilomar Al Principles</u>, <u>Advanced Al could represent a profound change in the history of life on Earth, and should be planned for and managed with commensurate care and resources. Unfortunately, this level of planning and management is not happening, even though recent months have seen Al labs locked in an out-of-control race to develop and deploy ever more powerful digital minds that no one – not even their creators – can understand, predict, or reliably control.</u>

Contemporary AI systems are now becoming human-competitive at general tasks,³ and we must ask ourselves: Should we let machines flood our information channels with propaganda and untruth Should we automate away all the jobs, including the fulfilling ones? Should we develop nonhuman minds that might eventually outnumber, outsmart, obsolete and replace us? Should we risk loss of control of our civilization? Such decisions must not be delegated to unelected tech leaders. Powerful AI systems should be developed only once we are confident that their effects will be positive and their risks will be manageable. This confidence must be well justified and increase with the magnitude of a system's potential effects. OpenAir scent statement regarding artificial general intelligence, states that "At some point, it may be important to get independent review before starting to train future systems, and for the most advanced efforts to agree to limit the rate of growth of compute used for creating new models." We acree. That boolit is once. That toolit is once. That toolit is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models." We acree. That point is occupied to the models we acree the models are the models. We acree. The point is occupied to the models." We acree. The point is occupied to the models. We acree. The point is occupied to the models we acree the models are the models and the models are the models. We acree the models are the models are the models and the models are the models and the models are the models are the models and the models are the models and the models are the models are the models and the models are the models and the models are

Therefore, we call on all Al labs to immediately pause for at least 6 months the training of Al systems more powerful than GPT-4. This pause should be public and verifiable, and include all key

Future of Life Institu www.futureoflife.org

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Ethical Considerations

Data Privacy & Does the client know exactly what data is being Informed Consent shared, with whom, and for what? Does the model lean in favor of any particular Bias demographic? • Are recommendations skewed by data that seem to Equity support a different standard of care? Unintended Vigilance in monitoring for anything unexpected Consequences Counselor • How would the counselor know if there is bias, is Competence recommendations are equitable, what to expect?

Ethical Quandaries for Counselors

Dr. Smith, a mental health counselor, has recently started using an AI-powered app that tracks her clients' mood patterns and provides insights to aid treatment. One of her clients, Emily, is initially enthusiastic about the app. However, as the sessions progress, Emily expresses concerns about who has access to her sensitive data, especially when she learns that the app's development company aggregates user data for research.

Where did Dr. Smith go wrong and what should she do now?

Ethical Quandaries for Counselors

Jason, a seasoned counselor, incorporates an AI tool in his practice to support his diagnostic decisions. The tool suggests a diagnosis of bipolar disorder for a new client, Kevin, based on its analysis. Jason feels that the diagnosis doesn't fully align with his clinical judgment, which suggests major depressive disorder.

Should he rely on his professional experience or follow the AI's recommendation, which might lead to a different treatment path for Kevin?

Ethical Quandaries for Counselors

Maria, a counselor working in a diverse community, uses an AI tool for treatment recommendations. One of her clients, Aisha, an immigrant, shares experiences and symptoms that the AI tool interprets and responds to inaccurately. Maria suspects that the AI's database might lack sufficient diversity in its data inputs, leading to biased outputs.

What should Maria do with this new insight into the quality of the tool?

Ethical Quandaries for Boards

The Licensing Board received a complaint about John Carter, a licensee who uses an AI-based chatbot in therapy to conduct initial assessments and provide coaching between sessions.

One of his clients, Sarah, reported feeling uncomfortable and misunderstood during her sessions. While talking with John, Sarah felt he dismissed her subtle expressions of distress and complex emotional states, instead providing generic responses. "It was like he was a robot, just repeating what my assessment and treatment plan said we should be doing" she said.

The board must now review the case to determine whether John's use of AI aligns with the ethical guidelines and standards of practice for mental health counseling.

Ethical Quandaries for Boards

The Board is reviewing a case involving Dr. Angela Reynolds, a licensed counselor who incorporated an AI-powered risk assessment tool into her practice. The tool, designed to predict the risk of self-harm or suicide in clients, was used by Dr. Reynolds to assist in evaluating clients during intake sessions.

A complaint was filed by the family of a client, Michael, who was seen by Dr. Reynolds. Michael, who had a history of depression, was assessed using the AI tool, which indicated a low risk of self-harm. Based on this assessment, Dr. Reynolds did not prioritize Michael for immediate intervention. A week later, Michael was hospitalized following a suicide attempt.

The family expressed concern that Dr. Reynolds relied on the AI tool's assessment, neglecting to consider other critical factors evident during the intake session. They felt that her decision-making was overly influenced by the tool's output, which might have missed crucial subtleties in Michael's condition that a more thorough human assessment might have caught.

Counselors

Counselor Education Professional Associations Licensing Boards

Use Tools with Competency Teach Evaluation (Not Use) Establish Guidelines & Standards

Regulatory Enforcement

Client Informed Consent

Emphasize Research Professional Development Licensing Requirements

Maintain Clinical Judgement

Practical Collaboration Build Multi-Disciplinary Coalitions

Monitoring & Evaluation

Provide Feedback to Developers

Advocacy

Learning Objective 3:

Apply the Evidence-Based Practice framework to counselors using AI tools

- 1. Research Evidence
- 2. Clinical Expertise
- 3. Client's Values, Preferences, and Characteristics

ACA Code of Ethics, 2013



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the use of AI

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Counselors must consider issues like client confidentiality, informed consent, and the security of communication when using technologies, including AI.

Research and Publication

Counselors should recognize that all AI tools use the data they have access to for research purposes; counselors must ensure that ethical guidelines regarding research and publication are followed.

Competence

Counselors using AI tools need to be sufficiently knowledgeable about these tools and how they impact their practice.

Professional Responsibility

When integrating AI into practice, counselors must ensure that these tools adhere to and support the counselor's professional standards.

Relationships with Clients

The counselor must ensure the AI tool enhances, rather than detracts from, the therapeutic relationship.

Informed Consent

Clients should be informed about how AI is used in their care and any risks or limitations associated with its use.

Confidentiality

Counselors must ensure that any AI system used maintains client confidentiality and data security.

Record Keeping

Counselors must ensure that records are maintained and disposed of in accordance with ethical guidelines and legal requirements.

Then ChatGPT says...

The application of these standards to AI in counseling highlights the importance of ethical consideration, client welfare, confidentiality, informed consent, and professional competence. As the field of AI continues to evolve, it's likely that future iterations of the ACA Code of Ethics will include more specific guidance on the use of AI and emerging technologies in counseling.

So I asked...curiously...

What might future iterations of the ACA Code of Ethics include?

Future iterations of the American Counseling Association's (ACA) Code of Ethics might include more detailed guidelines and standards that specifically address the use of emerging technologies like AI in counseling. These updates could encompass:



Standards
requiring
counselors to
develop and
maintain
competencies in
emerging
technologies,
including AI, as
they pertain to
counseling
practice.



Guidance on the ethical use of AI for client assessment and diagnosis, addressing potential biases and the limitations of AI tools.



Emphasizing client autonomy in the context of AI interventions, ensuring that clients have the choice to opt in or out of AI-assisted components of therapy.



Clear instructions on how to ethically incorporate AI tools in counseling, addressing the unique challenges and opportunities these technologies present.



Enhanced focus on the protection of client data in digital formats, including guidelines for using Al and other technologies that process client information.



Expanded guidelines on obtaining informed consent specifically for digital and Albased interventions, ensuring clients understand how their data will be used and the nature of Alassisted therapy.



These potential additions would reflect the evolving landscape of counseling in the digital age and the growing intersection between mental health services and advanced technologies. They would aim to ensure that counselors can effectively and ethically integrate these tools into their practice, enhancing the quality of care while upholding core ethical principles.

Building AI Literacy

It's just like building clinical literacy.

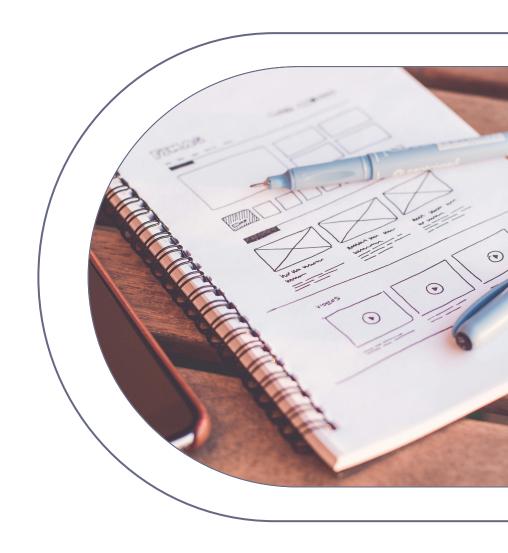


Beware of the AI panic-headline swirl.

Is the information Does the author supported by data? have domain That you trust? expertise? Does the article go Ok...so what? beyond buzz words?

Al Design Session!

- What if we could...?
- Ideation
- Prototype
- Iteration





Thank You!

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